FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington,  | D C  | 20540 |
|--------------|------|-------|
| wasiiiigton, | D.C. | 20049 |

| STATEMENT | OF | CHANGES | IN BE | NEFICIAL | OWNERSHIP |
|-----------|----|---------|-------|----------|-----------|
|           |    |         |       |          |           |

| OMB APPROVAL             |     |  |  |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|--|--|
| OMB Number: 3235-028     |     |  |  |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |  |  |
| houre per reenonee       | 0.5 |  |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Hordo Christian</u> |  |  |  |                 | 2. Issuer Name and Ticker or Trading Symbol Sana Biotechnology, Inc. [ SANA ] |                            |          |   |      |  |  |   | Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director     10% Owner |   |         |                             |    |
|---|--|--|--|-----------------|---|----------------------------|----------|---|------|--|--|---|---|---|---------|-----------------------------|----|
| (Last)  | `  | irst)                                      | (Middle)   |                 | 3. Date of Earliest Transaction (Month/Day/Year) 03/07/2024                   |                            |          |   |      |  |  | X   | Officer (below)   | pecify  |         |                             |    |
| 188 EAST BLAINE STREET, SUITE 400                               |  |  |  | 4               | 4. If Amendment, Date of Original Filed (Month/Day/Year)                      |                            |          |   |      |  | Line)  | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |   |         |                             |    |
| (Street) SEATTL   | E W  | /A   | 98102  |                 |   |                            |          |   |      |  |  | ) X   | _   | ,   |         | rting Person<br>One Reporti | ng |
| (City)  | (S   | tate)                                      | (Zip)  | F               | Rule 10b5-1(c) Transaction Indication   |                            |          |   |      |  |  |   |   |   |         |                             |    |
|   | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |  |                 |   |                            |          |   |      |  |  | satisfy   |   |   |         |                             |    |
|   |  | Та   | ble I - Non-I  | Derivati        | ve Se   | curities                   | s Ac     | quired, D   | )isp | osed o   | f, or Be   | neficially  | Owned   |   |         |                             |    |
| Date  |  |  | Transacti<br>ate<br>Month/Day                            | Execution Date, |   | Transaction Disposed Of (I |          | ities Acquired (A) or<br>d Of (D) (Instr. 3, 4 and 5)   |      | 5. Amoun<br>Securities<br>Beneficia<br>Owned For<br>Reported | Forr<br>ly (D)   | Form:<br>(D) or   | n: Direct<br>or Indirect<br>nstr. 4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |                             |    |
|   |  |  |  |                 |   | Code                       | <b>v</b> | Amount (A) or (D)   |      | Price  | Transacti  | ansaction(s)<br>astr. 3 and 4)                              |   |   | msu. 4) |                             |    |
|   |  |  | Table II - De  |                 |   |                            |          | uired, Dis<br>s, options  |      |  |  |   | Owned   |   |         |                             |    |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Yea | Code            | action Derivative (Instr. Securities Acquired (A)                             |                            |          | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |      | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)          | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio | e<br>s<br>Illy  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4)                        | Beneficial<br>Ownership<br>(Instr. 4)                             |         |                             |    |
|   |  |  |  | Code            | v   | (A)                        | (D)      | Date<br>Exercisable   |      | piration<br>te   | Title  | Amount<br>or<br>Number<br>of Shares                         |   | (Instr. 4)  |         |                             |    |
| Restricted<br>Stock<br>Units                                    | (1)  | 03/07/2024                                 |  | A               |   | 37,500                     |          | (2)   |      | (2)  | Common<br>Stock  | 37,500  | \$0.00  | 37,50   | 0       | D                           |    |
| Stock<br>Option<br>(Right to<br>Buy)                            | \$9.58   | 03/07/2024                                 |  | A               |   | 168,750                    |          | (3)   | 03/  | /06/2034   | Common<br>Stock  | 168,750   | \$0.00  | 168,75  | 50      | D                           |    |

## **Explanation of Responses:**

- 1. Each restricted stock unit represents a contingent right to receive one share of Sana Biotechnology, Inc. ("Sana") common stock.
- 2. The restricted stock units vest in four equal installments on each of March 7, 2025, 2026, 2027 and 2028, provided that the reporting person provides continuous service to Sana as an employee, consultant, director or officer of Sana through each such date.
- 3. The option vests and becomes exercisable as to 25% of the underlying shares on March 7, 2025 and in 36 equal monthly installments thereafter, provided that the reporting person provides continuous service to Sana as an employee, consultant, director or officer of Sana through each such date.

## Remarks:

/s/ Julie Shah, Attorney-in-Fact for Christian Hordo

03/08/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.